

## Better Care Fund – Scheme Level Risk Register 2019/20

		<b>Completion Date:</b>	January 2020
<b>Reporting Officer:</b>	Damian Nolan	<b>Authorising Officer:</b>	Sue Wallace-Bonner

Business Objective / Project	
Ref	Description
	Continue to work effectively on integrated schemes through system-wide approaches to reduce the number of Delayed Transfers of Care across Halton.

Assessment of current risk(s)				
Item	Identified risk	Impact (Severity)	Likelihood (Probability)	Score (I x L)
1	Failure to effectively transfer people of out hospital in a timely and safe manner back home or into a care home.	4	4	16

Assessment of residual risk(s) - Not enough capacity to meet demand to facilitate the timely and safe discharge of people out of hospital.						
Item	Risk control measure(s)	Lead Officer	Timescale / review frequency	Impact (Severity)	Likelihood (Probability)	Score (I x L)
1	Integrated Discharge Team	Damian Nolan	Monthly	4	4	16
2	Maintaining social care – residential placements – regular monitoring	Helen Moir	Quarterly	4	4	16
3	Intermediate Care – Schemes (beds and community)	Damian Nolan	Monthly	4	3	12
4	Early Supported Discharge – Stroke service	Louise Wilson	Monthly	3	4	12
5	Equipment	Louise Wilson	Quarterly	3	3	9
6	Improving Care Home provision and aligning primary care and community services	CHDG	Monthly	3	4	12
7	Out of Hospital Care (OPAT) – community based schemes – review of scheme	Natalie Vinton, CCG	Monthly	3	3	9

8	Bridgewater Community Therapies – support to intermediate care services – currently under review	Di Armstrong, CCG	Monthly	2	3	6
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<b>Progress update</b>
1-6 above remain in place. 7 and 8 are in development

<b>Overall Residual Risk Score</b>	<b>12</b>
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<b>Originating Department:</b>		<b>Completion Date:</b>	
<b>Contact Officer:</b>		<b>Authorising Officer:</b>	

		<b>Completion Date:</b>	January 2020
<b>Reporting Officer:</b>	Damian Nolan	<b>Authorising Officer:</b>	Sue Wallace-Bonner

Business Objective / Project	
Ref	Description
	Continue to work effectively on integrated schemes within the BCF through system-wide approaches to reduce the number of Non-Elective Admissions to Hospital

Assessment of current risk(s)				
Item	Identified risk	Impact (Severity)	Likelihood (Probability)	Score (I x L)
1	Failure to effectively manage preventative measures/services to reduce the number of people attending hospital through Non-Elective Admissions	3	4	12

Assessment of residual risk(s) – there are ineffective/insufficient out of hospital preventative services to reduce the number of emergency non-elective admissions						
Item	Risk control measure(s)	Lead Officer	Timescale / review frequency	Impact (Severity)	Likelihood (Probability)	Score (I x L)
1	Intermediate Care Schemes	Damian Nolan	Ongoing	4	4	16
2	Falls Service – review of service	Helen Walton, CCG	Monthly	2	3	6
3	Equipment Service – recent review	Louise Wilson	Monthly	3	4	12

Progress update
Review of Intermediate Care and associated services has moved to implementation stage. Falls service review is to recommence. Equipment service provision continues

<b>Overall Residual Risk Score</b>	<b>8</b>
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<b>Originating Department:</b>		<b>Completion Date:</b>	
<b>Contact Officer:</b>		<b>Authorising Officer:</b>	

		<b>Completion Date:</b>	January 2020
<b>Reporting Officer:</b>	Damian Nolan	<b>Authorising Officer:</b>	Sue Wallace-Bonner

#### Business Objective / Project

Ref	Description
	Continue to work effectively on integrated schemes within the BCF through system-wide approaches to increase the number of people that stay at home after discharge from hospital and maintain or reduce the level of residential care home admissions.

#### Assessment of current risk(s)

Item	Identified risk	Impact (Severity)	Likelihood (Probability)	Score (I x L)
1	Failure to effectively maintain/reduce the level of residential care home admissions	4	4	16

#### Assessment of residual risk(s) - there are ineffective/insufficient reablement services to keep people at home following discharge from hospital.

Item	Risk control measure(s)	Lead Officer	Timescale / review frequency	Impact (Severity)	Likelihood (Probability)	Score (I x L)
1	DFG	Helen Moir	Quarterly	3	3	9
2	Intermediate Care Services	Damian Nolan	Ongoing	4	4	16
3	Support for Carers	Emma Sutton Thompson	Ongoing	3	3	9
4	ESD Stroke	Louise Wilson	Monthly	3	3	9

#### Progress update

DFG work has resumed with work on progressing assessments and works and reducing the waiting list. Intermediate Care review moved to implementation phase. Revised Carers Strategy and action plan agreed and in implementation phase. ESD Stroke remains in place

<b>Overall Residual Risk Score</b>	<b>10</b>
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<b>Originating Department:</b>		<b>Completion Date:</b>	
<b>Contact Officer:</b>		<b>Authorising Officer:</b>	

		<b>Completion Date:</b>	January 2020
<b>Reporting Officer:</b>	Mandy Walsh/Bryan Webb	<b>Authorising Officer:</b>	Sue Wallace-Bonner

Business Objective / Project	
Ref	Description
	Continue to work within budget on integrated schemes within the BCF through system-wide approaches to improve outcomes for users of services.

Assessment of current risk(s)				
Item	Identified risk	Impact (Severity)	Likelihood (Probability)	Score (I x L)
1	Failure to keep within budget on integrated schemes through system-wide approaches	5	4	20

Assessment of residual risk(s) – the budget is overspent on integrated schemes?						
Item	Risk control measure(s)	Lead Officer	Timescale / review frequency	Impact (Severity)	Likelihood (Probability)	Score (I x L)
1	Set jointly agreed scheme budgets within funding allocation	Mandy Walsh	Annually	5	4	20
2	Monitor spend against budget on all schemes.	Mandy Walsh	Monthly	5	4	20
3	Provide financial performance reports to BCF Development Group	Mandy Walsh	Monthly	4	4	16
4	Realign scheme budgets to meet changes in services	Mandy Walsh	Ongoing	3	3	9

Progress update
A balanced budget has been agreed between partners and a robust monitoring system put in place. Financial performance against services is presented to members of the service development group as a standing agenda item each month with recommendations to bring spend back in line with budget is appropriate.

**Overall Residual Risk Score** 16

<b>Originating Department:</b>		<b>Completion Date:</b>	
<b>Contact Officer:</b>		<b>Authorising Officer:</b>	



Scoring Mechanism

Once the business risks are identified and analysed they are scored by multiplying the impact and likelihood. They will then establish a final score (or significance rating) for that risk:

	HI	5	10	15	20	25
	S	4	8	12	16	20
	M	3	6	9	12	15
	L	2	4	6	8	10
	IM	1	2	3	4	5
I M P A C T		H IMPROB	IMPROB	POSS	PROB	H PROB
		LIKELIHOOD				

Those that have been placed in the red boxes are the primary or Top Risks followed by lower risks leading to improbable risks.

Measures to control the risks are identified from the following options;

1. Reducing the likelihood; or
2. Reducing the impact; or
3. Changing the consequences of the risks by,
  - Avoidance
  - Reduction
  - Retention
  - Transference; or
4. Devising Contingencies, i.e. Business Continuity Planning

The risks are scored again to establish the effects the measures have once implemented on reducing the risks and identify a score rating for residual risks.